

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andreas BOSIO et al

Serial No.: 09/701,584

Filed: February 1, 2001

For: SUPPORT FOR THE PARALLEL IDENTIFICATION
AND TRANSCRIPTION PROFILING OF POLYNUCLEIC ACIDS

TRANSMITTAL OF SMALL ENTITY
DECLARATION AND REQUEST FOR REFUND

Assistant Commissioner of Patents
Washington, D.C. 20231

Attention: REFUND SECTION, ACCOUNTING
DIVISION, OFFICE OF FINANCE

Sir:

The applicant in the above-captioned application, Memorec Medical Molecular Research Cologne Stoffel GmbH, qualifies for small entity status.

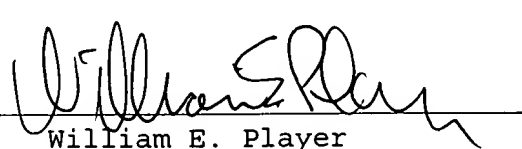
In view of Manual of Patent Examining Procedure (MPEP) 509.03, refund of the excess fee paid on December 11, 2000, is respectfully requested. Please credit a refund in the amount of \$495.00 to undersigned Counsel's Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

A photocopy of an executed Small Entity Declaration is attached hereto.

Respectfully submitted,

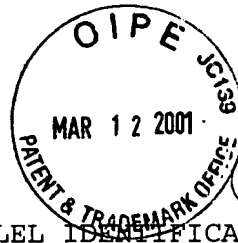
JACOBSON, PRICE, HOLMAN & STERN

By


William E. Player
Reg. No. 31,409

400 Seventh Street, N.W.
Washington, D.C. 20004-2201
(202) 638-6666

Atty. Docket: P66095US0
Date: February 8, 2001
WEP:jrc



OFFICE OF FINANCE
REFUND BRANCH
16 AM 9:22
OFFICE OF PATENT & TRADEMARK

FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
06	1358
FEE CODE	VALUE FURNISHED
970	860
154	130
971	430
294	65

Law Offices of
JACOBSON, PRICE, HOLMAN & STERN
PROFESSIONAL LIMITED LIABILITY COMPANY
THE JENIFER BUILDING
400 SEVENTH STREET, N.W.
WASHINGTON, DC 20004



Attny's Docket No. _____

SMALL ENTITY DECLARATION
[37 CFR 1.8(c-f)]

Each undersigned declares that:

(1) ☐ the application attached hereto.

(2) ☒ U.S. Application Serial No. _____, filed December 11, 2000

(3) ☐ U.S. Patent No. _____ issued _____

is entitled to the benefits of "small entity" status for paying reduced fees under 35 USC 41(a) and (b) to the Patent and Trademark Office by virtue of the following:

(4) ☐ Each undersigned declares that he/she qualifies as an independent inventor, or would qualify had he/she made the invention, as defined in 37 CFR 1.8(c).

(5) ☒ The undersigned declares that he/she is an official empowered to act on behalf of the concern identified below; that this concern qualifies as a small business concern as defined in 37 CFR 1.8(d); that exclusive rights to the invention have been conveyed to and remain with the small business concern, or if the rights are not exclusive, that all other rights belong to small entities as defined in 37 CFR 1.9.

(6) ☐ The undersigned declares that he/she is an official empowered to act on behalf of the organization identified below; that this organization qualifies as a nonprofit organization as defined in

(a) ☐ 37 CFR 1.9(e)(1)

(b) ☐ 37 CFR 1.9(e)(2)

(c) ☐ 37 CFR 1.9(e)(3)

(d) ☐ 37 CFR 1.9(e)(4) State law of _____

that exclusive rights to the invention have been conveyed to and remain with the organization, or if the rights are not exclusive, that all other rights belong to organizations as defined in 37 CFR 1.9.

(7) Each person, concern or organization to which I/we have assigned, granted, conveyed or licensed, or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

(a) ☐ no such person, concern or organization

(b) ☐ persons, concerns or organization listed below

(a separate declaration is required from each named person, concern or organization having rights to this invention averring to their status as "small entities.")

Full Name _____

Address _____

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

I/we acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement of small entity prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I/we hereby declare all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.

(8)	<u>ANDREAS BOSIO</u>	<u>[Signature]</u>	<u>08.03.01</u>
	Typed Name of Inventor	Signature	Date
	<u>WILHELM STOFFEL</u>	<u>[Signature]</u>	<u>[Date]</u>
	Typed Name of Inventor	Signature	Date
	<u>MARKUS STOFFEL</u>	<u>[Signature]</u>	<u>[Date]</u>
	Typed Name of Inventor	Signature	Date
	<u>[Signature]</u>	<u>[Signature]</u>	<u>[Date]</u>
	Typed Name of Inventor	Signature	Date

(9) Memorec Medical Molecular Research Cologne Stoffel GmbH

Name of Small Business Concern or Nonprofit Organization

X BORIS [Signature] By [Signature] Date 08.03.01

X CEO Title of Signatory

MEMOREC

MEDICAL MOLECULAR RESEARCH
88 (Cologne) 50829 Köln
Stöckelmer Weg 1 • D-50829 Köln

ATTENTION ATTENTION ATTENTION

Date Processed:

~~OIPR~~
OIPÉ

☐

ACH Payment

Attached Is The Most Current ACH Information

☐

Treasury Check

The Most Current ACH Information Was
Requested From This Customer With The Purpose Of
Processing His Refund Request By Electronic Fund
Transfer (EFT). No EFT Information Was Received.

Therefore, If Customer Is Due A Refund;

A Check Will Be Issued.

☒

Credit To Deposit Account

09/180329

GA

This Request for Refund Will Be Processed By Crediting Deposit
Account Number 06-1358, If Customer Is Due A Refund.

ATTENTION ATTENTION ATTENTION

Dep 9 Ref 307

PATENT
ATTY. DKT. NO.: P63173US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Janez SUSA

U.S. Patent No.: 6,145,759

Serial No.: 09/180,329

Issued: November 14, 2000

Filed: November 5, 1998

For: SUCTION-EFFECT RADIAL-DISCHARGE FUNNEL-SHAPED NOZZLE

SECOND REQUEST FOR REFUND

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

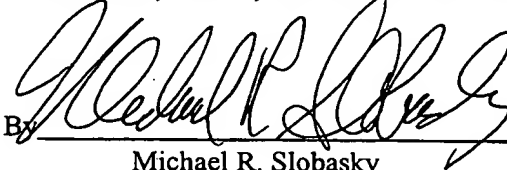
In the above identified case, on June 4, 1999, Applicant filed a Request for Corrected Filing Receipt requesting the title to be changed due to a PTO error. However, our deposit account was mistakenly charged \$25.00 for a Corrected Filing Receipt.

Subsequently, on September 1, 1999, Applicant filed a Request for Refund for \$25 along with all evidencing documentation, however, the PTO has not yet credited undersigned attorney's Deposit Account in the amount of \$25.00.

By this paper, a credit to deposit account 06-1358 in the amount of \$25.00 is again respectfully requested. Copies of all documents in support of this request are attached.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By 

Michael R. Slobasky
Reg. No. 26,421

400 Seventh Street, NW
Washington, D.C. 20004-2201
(202) 638-6666
Atty. Docket No.: P63173US0
Date: March 13, 2001
MRS:cwp
R:\CMOORE\MRS\1q01\VP63173.REF



OFFICE OF FINANCE
REFUND FRANCHISE
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US PATENT & TRADEMARK
OFFICE

INVS Ref. PL 3123450

Today's Date

Sept. 1, 1999

Serial/Patent No. 09/180,329

Applicant SUSA

Filing Date November 5, 1998

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | |
|---|---|
| <input type="checkbox"/> _____ pp. Specification & _____ Claims | <input type="checkbox"/> Response to Office Action |
| <input type="checkbox"/> Combined Declaration, Power of Attorney | <input type="checkbox"/> Disclosure Statement |
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Copies of References |
| <input type="checkbox"/> Rule 53 (b) Application | <input checked="" type="checkbox"/> Request for Refund w/ ATTACHMENTS |
| <input type="checkbox"/> Rule 53 (d) Application | <input type="checkbox"/> Request for Corrected Filing Document |
| <input type="checkbox"/> Claim to Priority and Certified Copy | <input type="checkbox"/> Petition for XOT |
| <input type="checkbox"/> Substitute <input type="checkbox"/> Subsequent Declaration | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Maintenance Fee | <input type="checkbox"/> Brief |
| <input type="checkbox"/> Drawings _____ Sheets _____ Formal _____ Informal | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Assignment/Change of Name | <input type="checkbox"/> Other _____ |
| <input type="checkbox"/> Small Entity Declaration | |
| <input type="checkbox"/> Check for \$ _____ | |

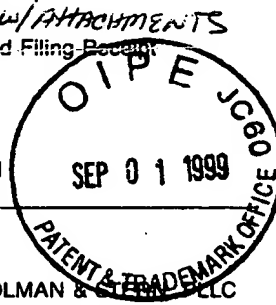
IS 12/97

DUE DATE _____

Person filing

MRS. CWP

JACOBSON, PRICE, HOLMAN & STEIN, LLC
400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Refund Section- Accounting Division
Office of Finance

In Re Application of: Janez SUSA

Serial No.: 09/180,329

Filed: November 5, 1998

Title: SUCTION-EFFECT RADIAL-DISCHARGE FUNNEL-SHAPED NOZZLE

REQUEST FOR REFUND

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In the above identified case, deposit account 06-1358 has been improperly debited. By this paper, a credit to deposit account 06-1358 is requested. An explanation of the debiting error is set out below, and copies of all documents in support of this request are attached.

Explanation of Error:

- ☐ Large Entity fee charged to Small Entity
- ☒ Fee should not have been charged to PTO account
- ☐ PTO miscalculation
- ☐ Unknown and unidentifiable PTO charge

Type of Charge and Amount of Refund Requested:

- | | |
|--|---------|
| <input type="checkbox"/> Filing fee | \$_____ |
| <input type="checkbox"/> Extension of Time | \$_____ |
| <input type="checkbox"/> Appeal/interference fee | \$_____ |

<input type="checkbox"/>	Petition fee	\$ _____
<input type="checkbox"/>	Issue fee	\$ _____
<input type="checkbox"/>	Maintenance surcharge (late fee)	\$ _____
<input type="checkbox"/>	Maintenance fee	\$ _____
<input checked="" type="checkbox"/>	Other (see comments)	\$25.00
TOTAL REFUND REQUESTED		\$25.00

Comments:

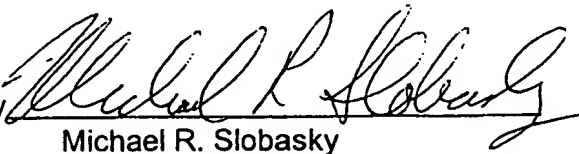
A Request for Corrected Filing Receipt was filed on June 4, 1999 requesting the title to be changed due to a PTO error, however, the above identified deposit account was charged for \$25.00 in error. The title was correctly identified on the Declaration and Power of Attorney as well as on our Transmittal Letter which were filed on November 5, 1998 as noted on the postcard receipt, copies enclosed.

Attached Documents:

- ☒ Deposit account statement (highlighted)
- ☒ Declaration and Power of Attorney, Transmittal Letter and Postcard Receipt

Respectfully submitted

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By 
 Michael R. Slobasky
 Registration No. 26,421

400 Seventh Street, N.W.
 Washington, DC 20004
 (202) 638-6666
 Atty. Dkt. No.: P63173US0
 Date: September 1, 1999
 MRS:cwp
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